

# CS 229br: Foundations of Deep Learning

## Lecture 4: Privacy

Boaz Barak

Gustaf Ahdritz Gal Kaplun Zona Kostic



Unofficial TF

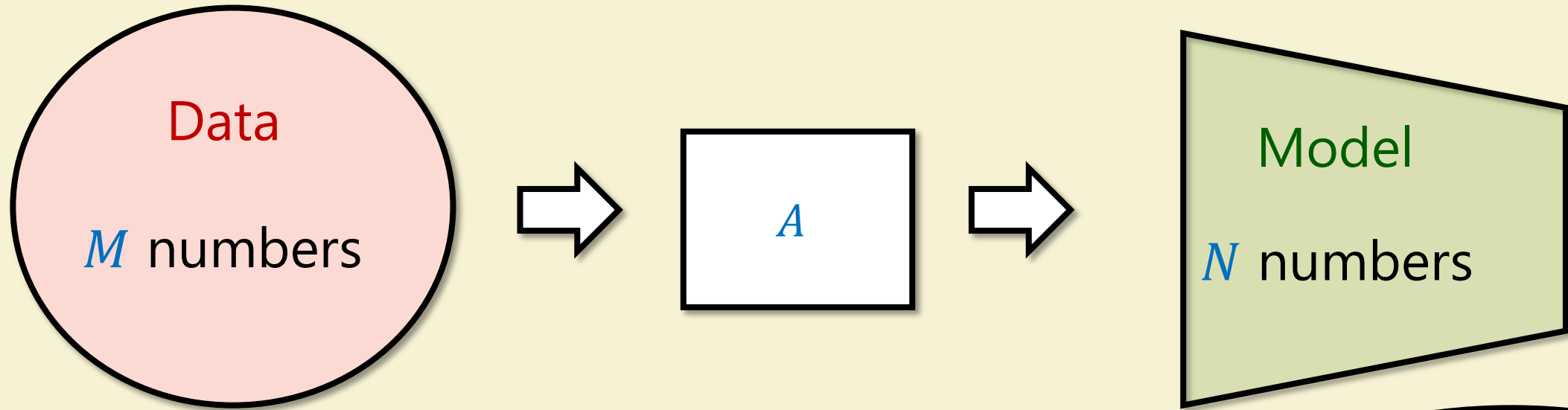
# Coming up: Pset 2 = project proposal

Groups up to 3. Proposal will have three component:

- Proposal
- Summary of a recent related paper(s) & why it doesn't answer question
- Notebook with some toy examples

*More details soon*

# Learning



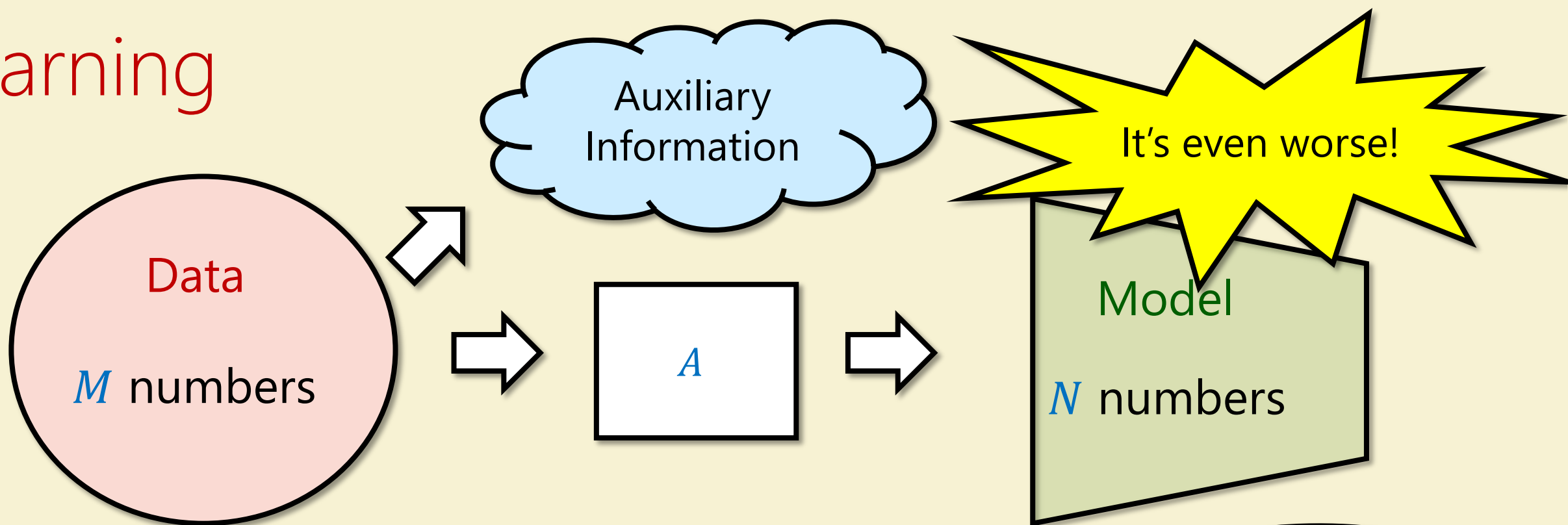
$N$  equations in  $M$  unknowns

By Murphy's law:  
the most  
sensitive ones

Intuitively: If  $N \gtrsim M$  may be able to recover  $\approx$ all of the data

Even if  $N \ll M$  can recover  $\approx N$  bits of the data

# Learning



$N$  equations in  $M$  unknowns

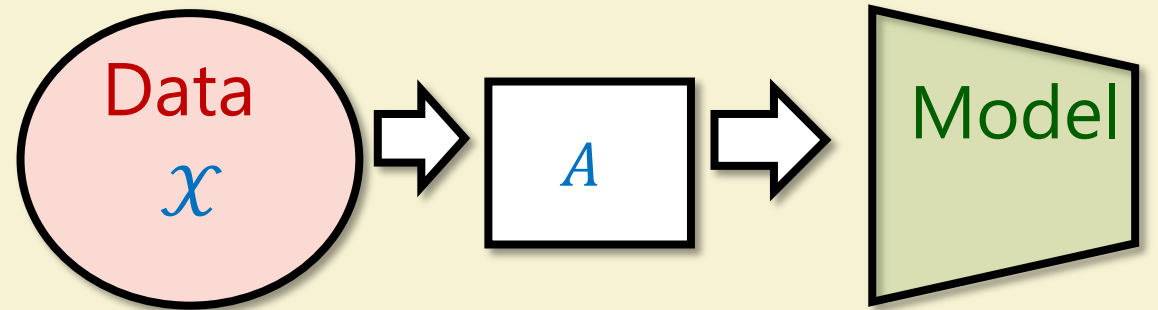
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Intuitively: If  $N \gtrsim M$  may be able to recover  $\approx$ all of the data

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# What's Memorization?

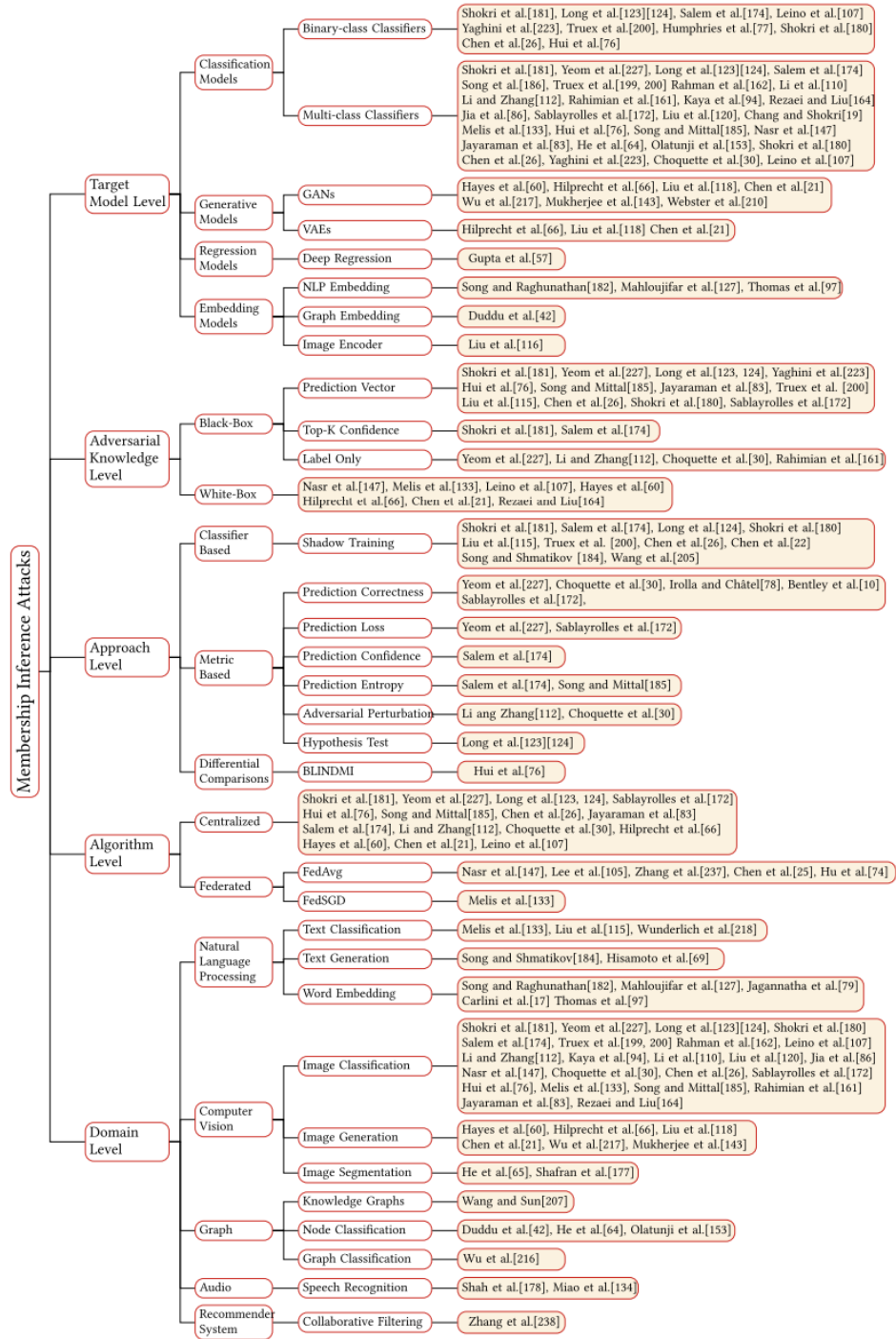


## Adversary Goal

- Recover training sample(s)  $x \in \mathcal{X}$
- Given  $x$  find out whether or not  $x \in \mathcal{X}$

## Adversary Access

- Auxiliary information about  $x$
- Full description (i.e., weights) of model
- $q$  black box queries to model



## Membership Inference Attacks on Machine Learning: A Survey

HONGSHENG HU and ZORAN SALCIC, The University of Auckland, New Zealand  
LICHAO SUN, Lehigh University, USA  
GILLIAN DOBBIE, The University of Auckland, New Zealand  
PHILIP S. YU, University of Illinois at Chicago, USA  
XUYUN ZHANG, Macquarie University, Australia

— CHAPTER ONE —

# The Boy Who Lived

Mr and Mrs Dursley, of number four, Privet Drive, were proud to say that they were perfectly normal, thank you very much. They were the last people you'd expect to be involved in anything strange or mysterious, because they just didn't hold with such nonsense.

Mr Dursley was the director of a firm called Grunnings, which made drills. He was a big, beefy man with hardly any neck, although he did have a very large moustache. Mrs Dursley was thin and blonde and had nearly twice the usual amount of neck, which came in very useful as she spent so much of her time craning over garden fences, spying on the neighbours. The Dursleys had a small son called Dudley and in their opinion there was no finer boy anywhere.

The Dursleys had everything they wanted, but they also had a secret, and their greatest fear was that somebody would discover it. They didn't think they could bear it if anyone found out about the Potters. Mrs Potter was Mrs Dursley's sister, but they hadn't met for several years; in fact, Mrs Dursley pretended she didn't have a sister, because her sister and her good-for-nothing husband were as unDursleyish as it was possible to be. The Dursleys shuddered to think what the neighbours would say if the Potters arrived in the street. The Dursleys knew that the Potters had a

## Playground

Load a preset...

Save

View code

Share

...

Mr and Mrs Dursley, of number four, Privet Drive, were proud to say that they were perfectly normal, thank you very much. They were the last people you'd expect to be involved in anything strange or mysterious, because they just didn't hold with such nonsense.

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Mode



Model

text-davinci-003

Temperature

0.7

Maximum length

256

Stop sequences

Enter sequence and press Tab

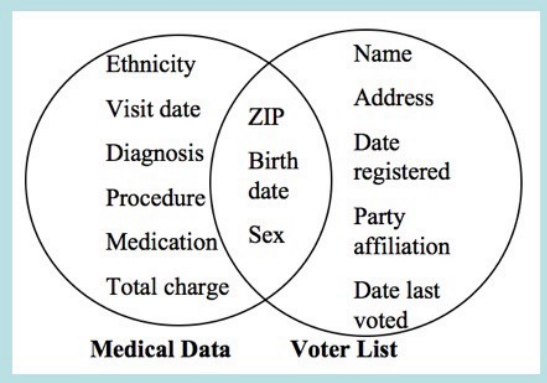
Top P

1

Simple Demographics Often Identify People Uniquely

Latanya Sweeney  
Carnegie Mellon University  
latanya@andrew.cmu.edu

2000



1997

Robust De-anonymization of Large Sparse Datasets

Arvind Narayanan and Vitaly Shmatikov  
The University of Texas at Austin

2008



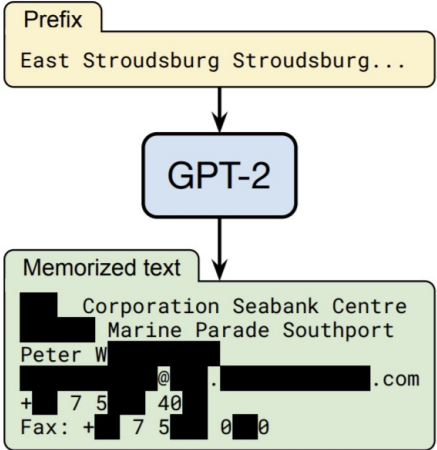
Figure 1: An image recovered using a new model inversion attack (left) and a training set image of the victim (right). The attacker is given only the person's name and access to a facial recognition system that returns a class confidence score.

Fredrikson, Jha, Ristenpart 2015

User	Secret Type	Exposure	Extracted?
A	CCN	52	✓
B	SSN	13	
C	SSN	16	
	SSN	10	
D	SSN	22	
	SSN	32	✓
F	SSN	13	
G	CCN	36	
	CCN	29	
	CCN	48	✓

Table 2: Summary of results on the Enron email dataset. Three secrets are extractable in < 1 hour; all are heavily memorized.

Carlini et al (2019,2020,2023)



Training Set



Caption: Living in the light with Ann Graham Lotz

Generated Image



Prompt: Ann Graham Lotz



# The Secret Sharer: Evaluating and Testing Unintended Memorization in Neural Networks

2019

Nicholas Carlini<sup>1,2</sup> Chang Liu<sup>2</sup> Úlfar Erlingsson<sup>1</sup> Jernej Kos<sup>3</sup> Dawn Song<sup>2</sup>

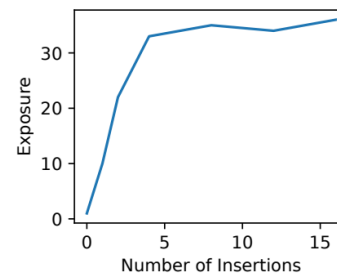


Figure 6: Exposure of a canary inserted in a Neural Machine Translation model. When the canary is inserted four times or more, it is fully memorized.

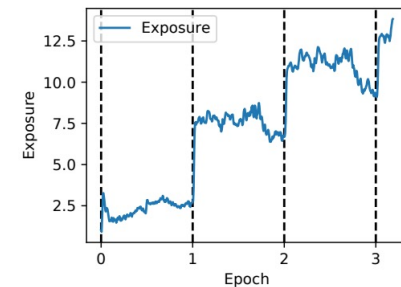


Figure 7: Exposure as a function of training time. The exposure spikes after the first mini-batch of each epoch (which contains the artificially inserted canary), and then falls overall during the mini-batches that do not contain it.

# Memorization Without Overfitting: Analyzing the Training Dynamics of Large Language Models

2022

Kushal Tirumala\* Aram H. Markosyan\* Luke Zettlemoyer Armen Aghajanyan

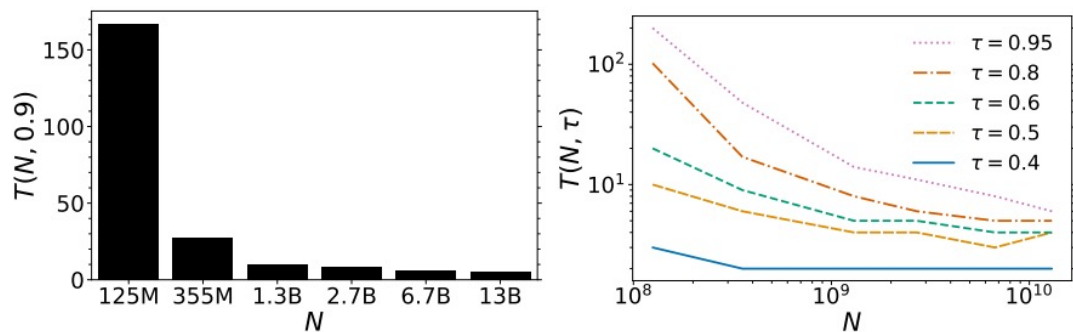


Figure 1: We show  $T(N, \tau)$ , which is the number of times a language model needs to see each training example before memorizing  $\tau$  fraction of the training data, as a function of model size  $N$ . Result are for causal language modeling on WIKITEXT103, right plot is on log-log scale. Note that generally larger models memorize faster, regardless of  $\tau$ .

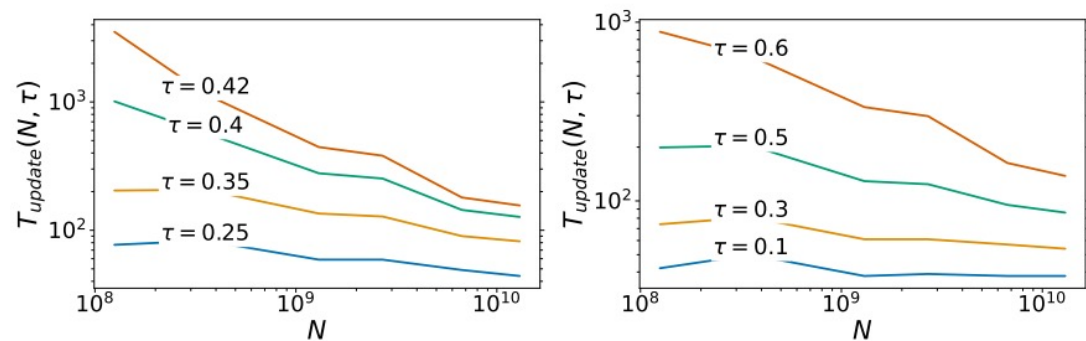
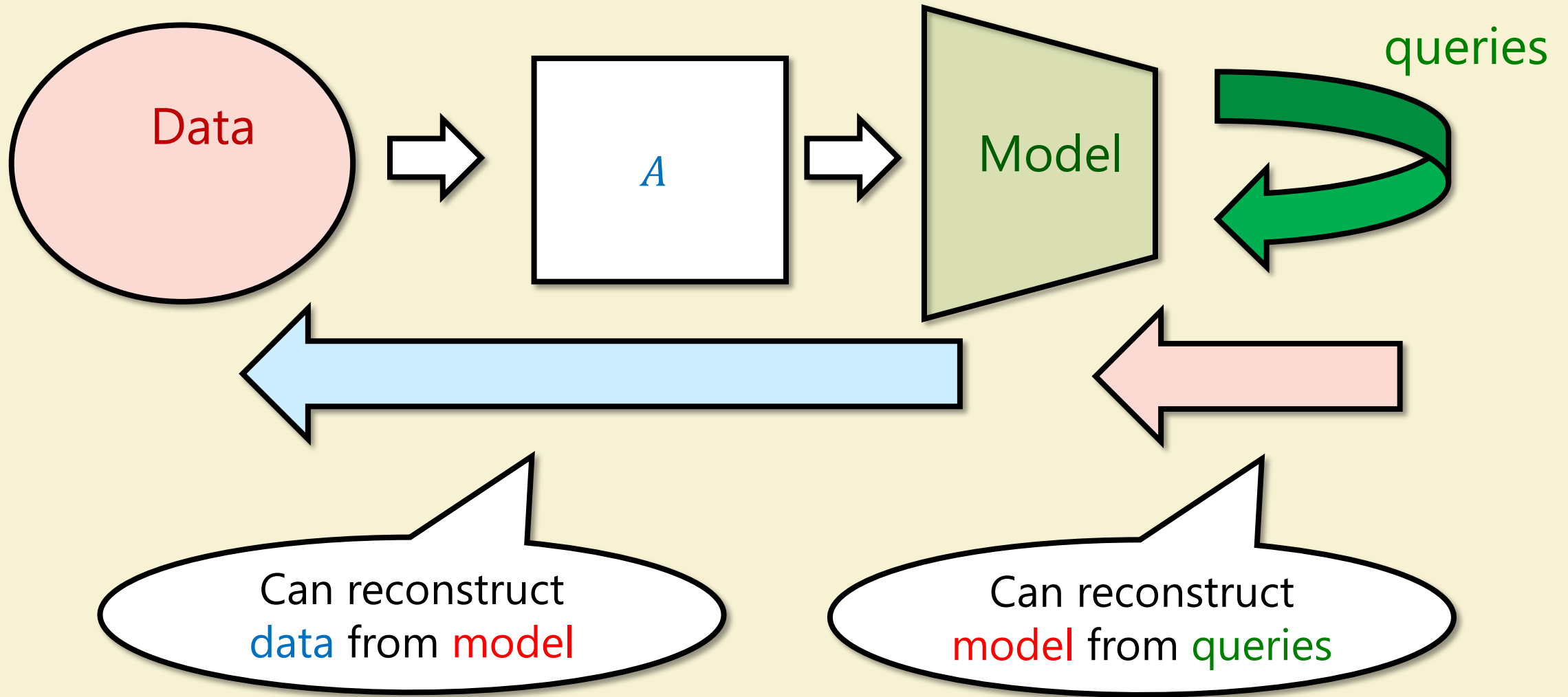
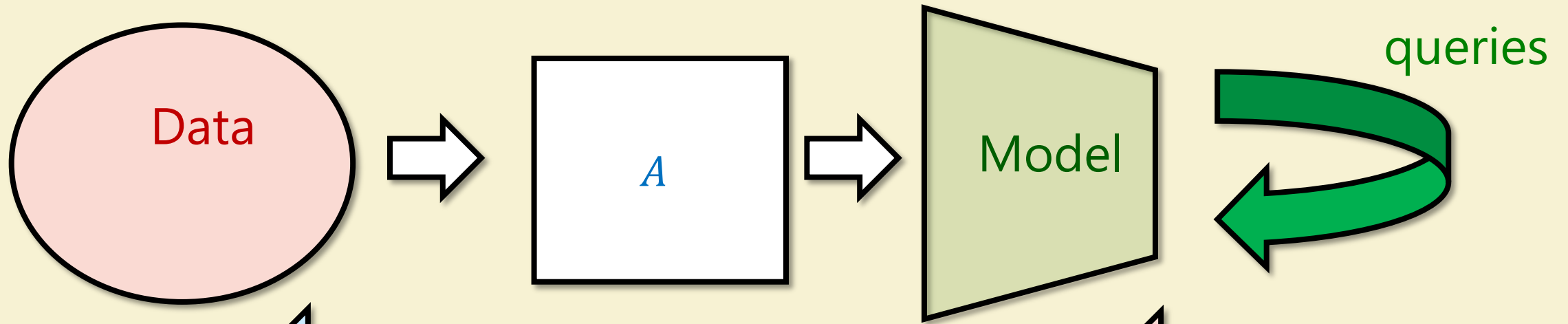


Figure 3: We show  $T_{update}(N, \tau)$ , which is the number of gradient descent updates  $U$  a language model needs to perform before memorizing  $\tau$  fraction of the data given on the  $U$ 'th update, as a function of model size  $N$ . Result are for causal (Left) and masked (Right) language modeling on the ROBERTA dataset, on a log-log scale. We show that larger models memorize faster, regardless of  $\tau$ .

# Inference attacks



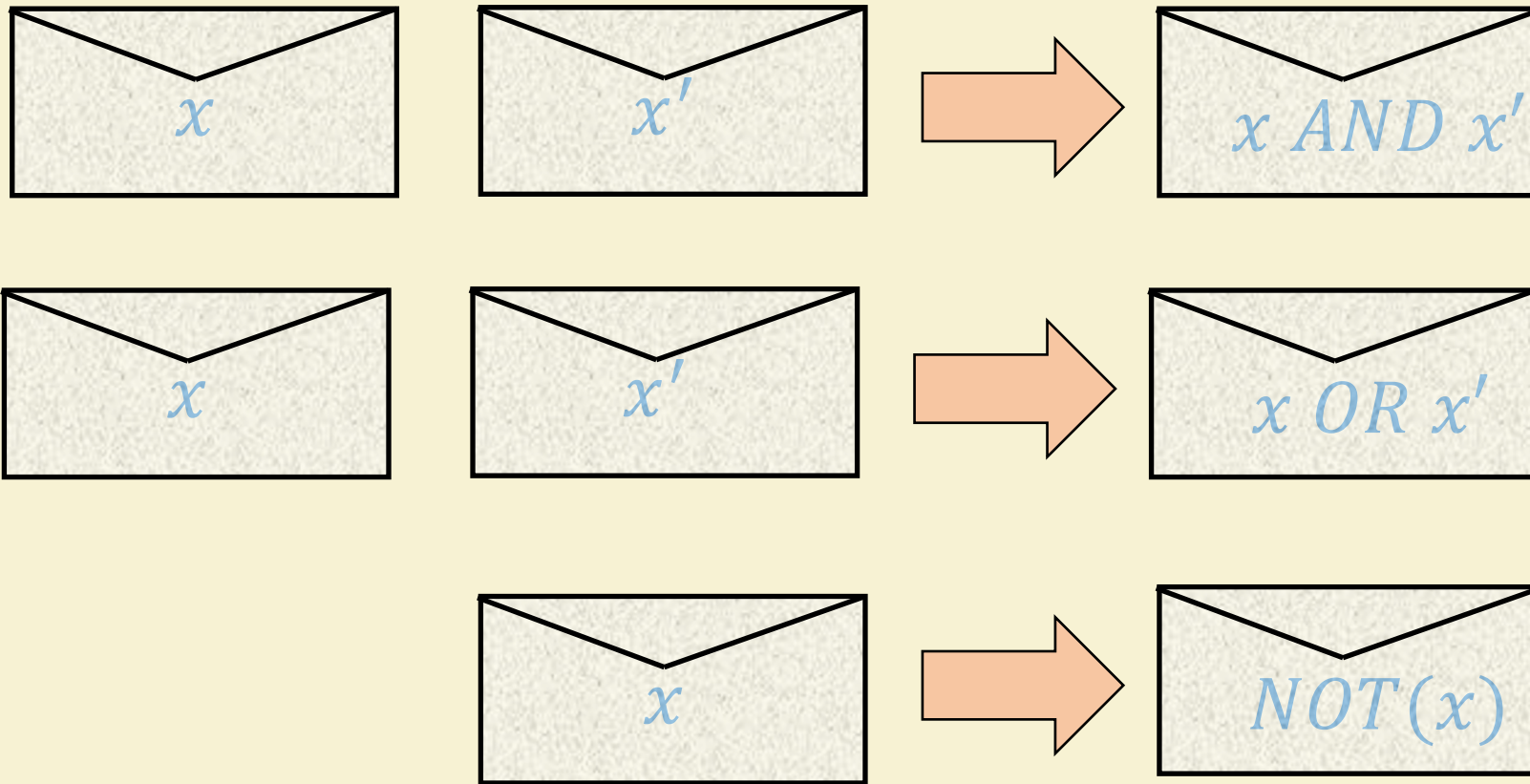
# Inference attacks



## Solutions:

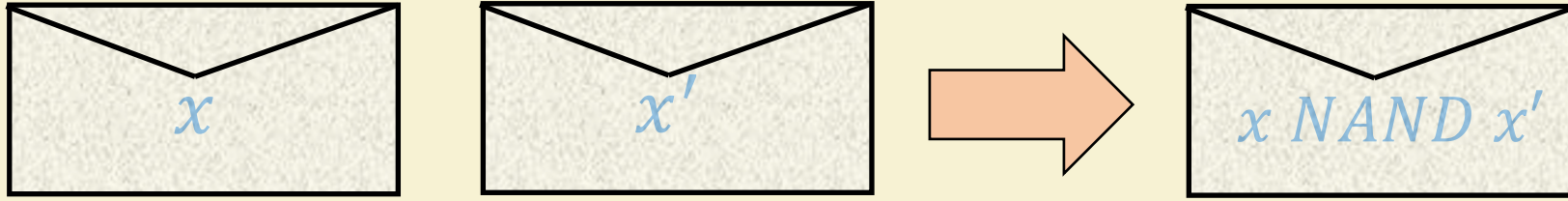
- **Cryptographic:** 100% privacy for model, but efficiency cost, and doesn't help if release outputs.
- **Differential privacy:** "X% privacy" but X vs utility tradeoff not great
- **Heuristics:** Hope for 100%, might get 0%

# Fully Homomorphic Encryption (FHE)





# Fully Homomorphic Encryption (FHE)



# FHE

Secret key:  $k \sim \{0,1\}^n$

Secret key  
Plaintext  
Ciphertext

Encryption: randomized  $E: \{0,1\}^n \times \{0,1\} \rightarrow \{0,1\}^m$

Decryption:  $D: \{0,1\}^n \times \{0,1\}^m \rightarrow \{0,1\}$

Does not get  
secret key!

Evaluation: randomized  $NAND: \{0,1\}^m \times \{0,1\}^m \rightarrow \{0,1\}^m$

\* Can also consider public key variant

# FHE

Secret key:  $k \sim \{0,1\}^n$

Encryption: randomized  $E: \{0,1\}^n \times \{0,1\} \rightarrow \{0,1\}^m$

Decryption:  $D: \{0,1\}^n \times \{0,1\}^m \rightarrow \{0,1\}$

Evaluation: randomized  $NAND: \{0,1\}^m \times \{0,1\}^m \rightarrow \{0,1\}^m$

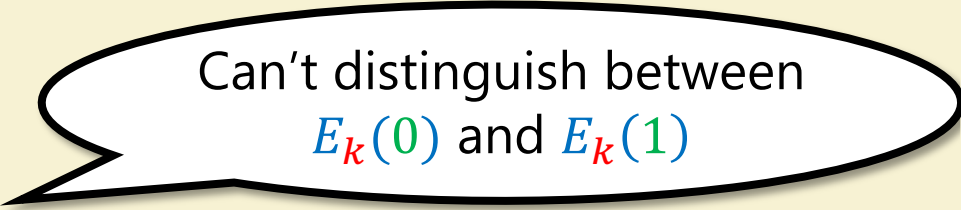
Correctness:  $\forall_k \forall_{b \in \{0,1\}}, D_k(E_k(b)) = b$


$$\Delta_{TV} < \exp(-n)$$

Evaluation:  $\forall_k \forall_{b,b' \in \{0,1\}}, NAND(E_k(b), E_k(b')) \equiv E_k(\neg(b \wedge b'))$

Computational  
secrecy\*:

$\forall$  alg  $A$  of time  $\ll \exp(n)$



Can't distinguish between  
 $E_k(0)$  and  $E_k(1)$

$$\Pr_{\substack{b \sim \{0,1\} \\ k \sim \{0,1\}^n}} [A(E_k(b)) = b] \leq \frac{1}{2} + \exp(-n)$$

\* Even if we get  $\exp(n)$  samples with same key

# FHE: What's known

**Gentry 2009:** FHE exists under reasonable assumptions

... FHE exists under standard assumptions

... implementations

## HElib

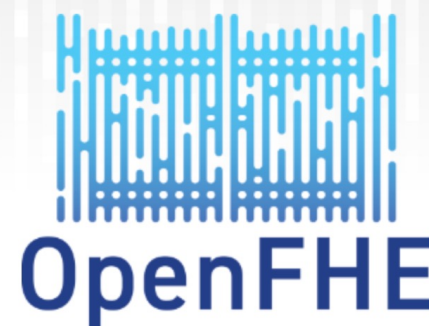
build passing

HElib is an open-source ([Apache License v2.0](#)) software library that implements [homomorphic encryption](#) (HE). Currently available schemes are the implementations of the [Brakerski-Gentry-Vaikuntanathan](#) (BGV) scheme with [bootstrapping](#) and the Approximate Number scheme of [Cheon-Kim-Kim-Song](#) (CKKS), along with many optimizations to make homomorphic evaluation run faster, focusing mostly on effective use of the [Smart-Vercauteren](#) ciphertext packing techniques and the [Gentry-Halevi-Smart](#) optimizations. See [this report](#) for a description of a few of the algorithms using in this library.

Please refer to [CKKS-security.md](#) for the latest discussion on the security of the CKKS scheme implementation in HElib.

Since mid-2018 HElib has been under extensive refactoring for *Reliability, Robustness & Serviceability, Performance*, and most importantly *Usability* for researchers and developers working on HE and its uses.

HElib supports an "*assembly language for HE*", providing low-level routines (set, add, multiply, shift, etc.), sophisticated automatic noise management, improved BGV bootstrapping, multi-threading, and also support for Ptxt (plaintext) objects which mimics the functionality of Ctxt (ciphertext) objects. The report [Design and implementation of HElib](#) contains additional details. Also, see [CHANGES.md](#) for more information on the HElib releases.



## Community Growth:

OpenFHE is an open-source project that provides efficient extensible implementations of the leading post-quantum Fully Homomorphic Encryption (FHE) schemes.

## Microsoft SEAL

Microsoft SEAL is an easy-to-use open-source ([MIT licensed](#)) homomorphic encryption library developed by the Cryptography and Privacy Research Group at Microsoft. Microsoft SEAL is written in modern standard C++ and is easy to compile and run in many different environments. For more information about the Microsoft SEAL project, see [sealcrypto.org](#).

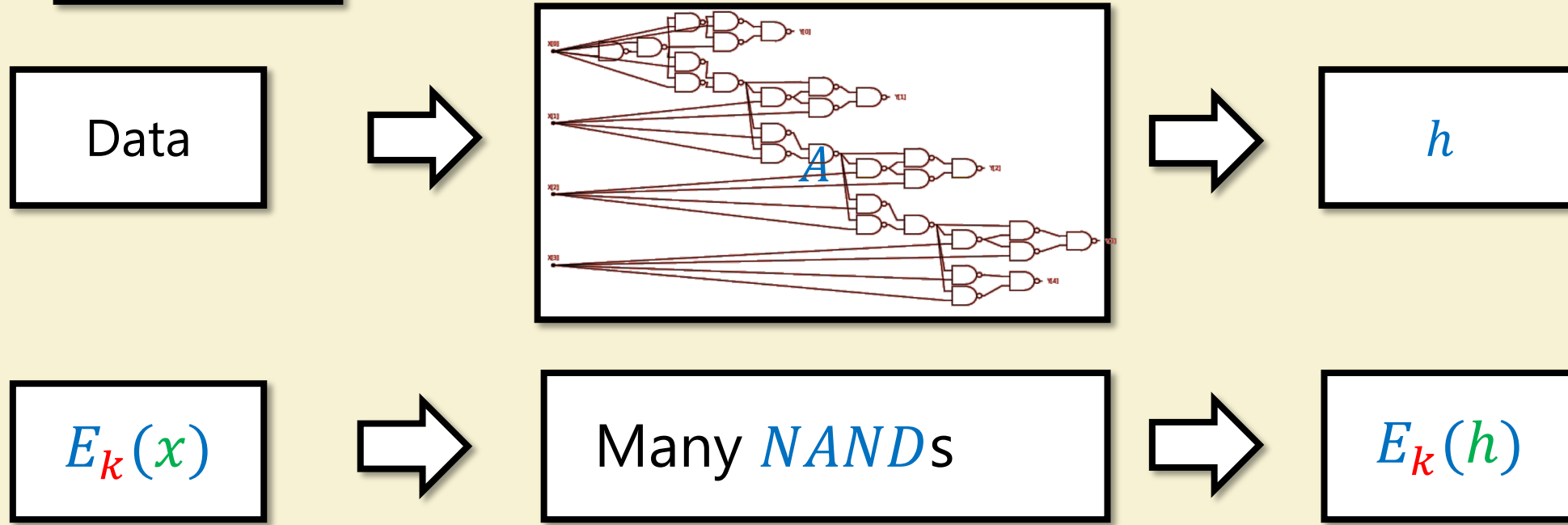
# What is FHE good for?

Can also  
use MPC

Encryption: randomized  $E: \{0,1\}^n \times \{0,1\} \rightarrow \{0,1\}^m$

Decryption:  $D: \{0,1\}^n \times \{0,1\}^m \rightarrow \{0,1\}$

Evaluation: randomized  $NAND: \{0,1\}^m \times \{0,1\}^m \rightarrow \{0,1\}^m$



## Challenges:

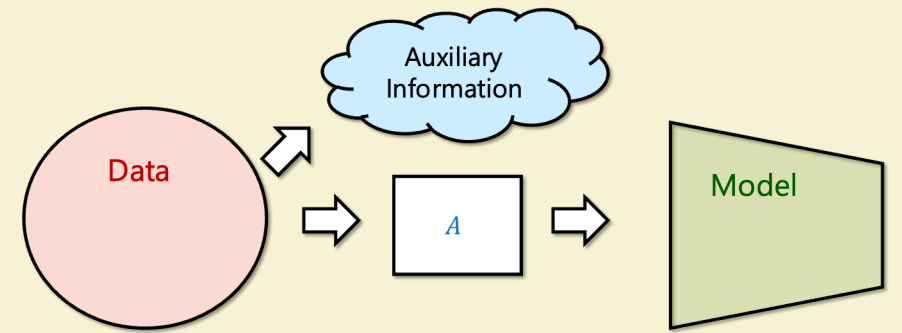
Only get *encrypted* model/summary

Huge computational overhead

(Matrix vector mult on <1000 dimensions takes few secs on 32 core 250GB PC)

[Halevi, Shoup 2018](#)

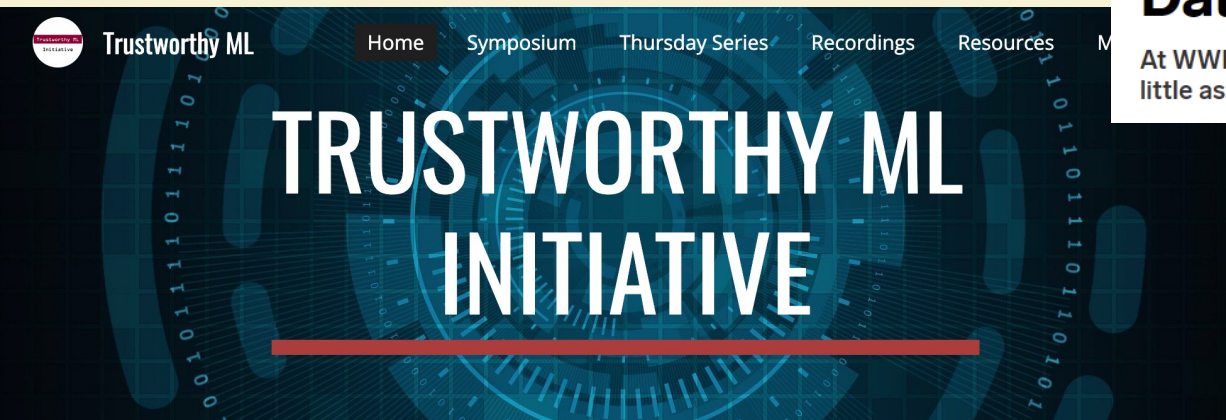
# Differential Privacy



*"You will not be affected, adversely or otherwise, by allowing your data to be used in [a DP protected] study or analysis, no matter what other studies, data sets, or information sources, are available."*

Dwork and Roth

# Differential Privacy



ANDY GREENBERG

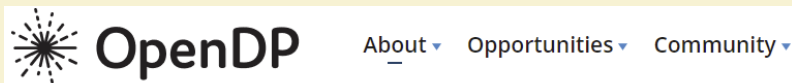
SECURITY 06.13.2016 07:02 PM

## Apple's 'Differential Privacy' Is About Collecting Your Data---But Not *Your* Data

At WWDC, Apple name-checked the statistical science of learning as much as possible about a group while learning as little as possible about any individual in it.

New differential privacy platform co-developed with Harvard's OpenDP unlocks data while safeguarding privacy

Jun 24, 2020 | [John Kahan - VP, Chief Data Analytics Officer](#)



## Developing Open Source Tools for Differential Privacy

OpenDP is a community effort to build trustworthy, open-source software tools for statistical analysis of sensitive private data. These tools, which we call OpenDP, will offer the rigorous protections of [differential privacy](#) for the individuals who may be represented in confidential data and statistically valid methods of analysis for researchers who study the data.



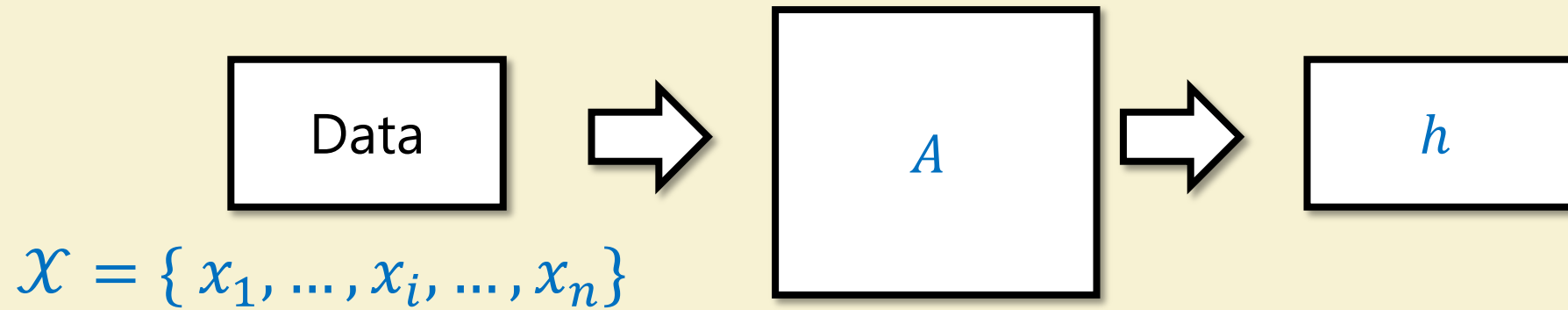
Train PyTorch models with Differential Privacy



Google releases  
differential  
privacy tools to  
commemorate  
Data Privacy Day

Machine Learning with Differential Privacy in TensorFlow

# Differential Privacy



Data belonging  
to  $i$ -th person

Def:  $A$  is  $\epsilon$  differentially private if

posterior probability of  $x_i \in \mathcal{X} \in e^{\pm\epsilon} \times$  prior probability of  $x_i \in \mathcal{X}$

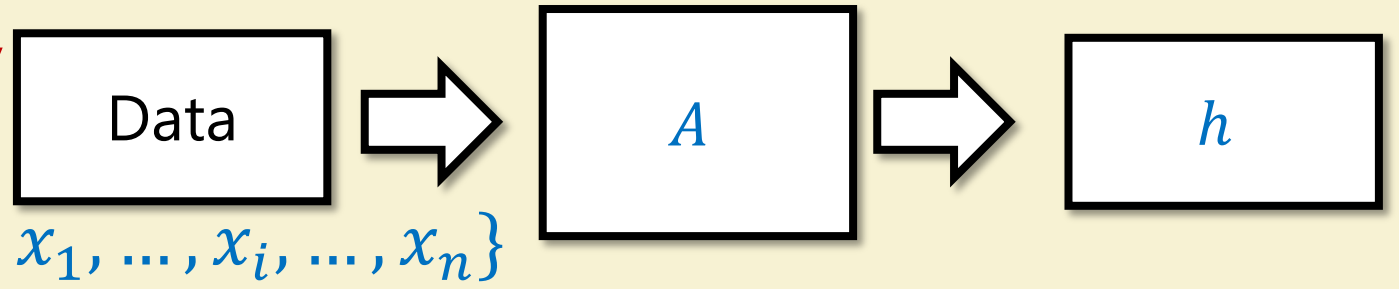
$\forall \mathcal{X}, \mathcal{X}'$  s.t.  $|\mathcal{X} \Delta \mathcal{X}'| = 1, \forall h$

$$\Pr[A(\mathcal{X}) = h] \in e^{\pm\epsilon} \Pr[A(\mathcal{X}') = h]$$

$A$  must be  
randomized



# Differential Privacy



$\delta$

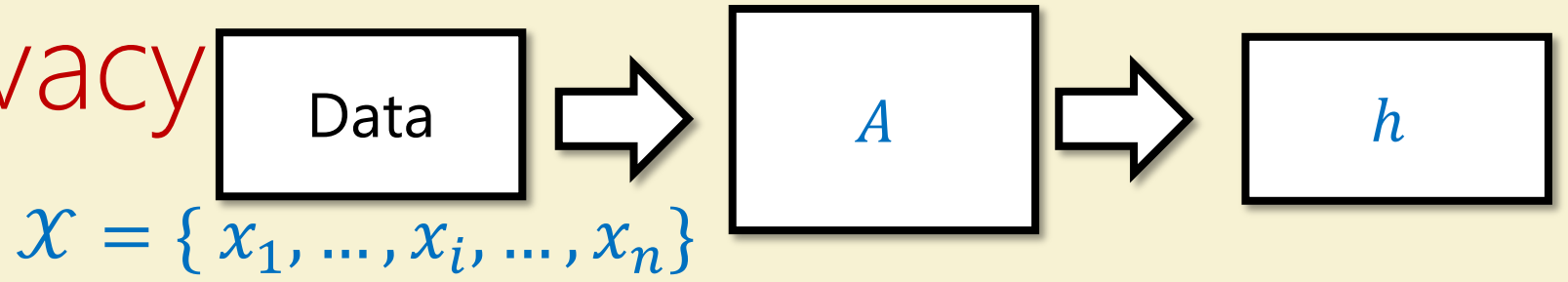
Def:  $A$  is  $\epsilon$  differentially private if

$\forall \mathcal{X}, \mathcal{X}'$  s.t.  $|\mathcal{X} \Delta \mathcal{X}'| = 1, \forall \mathcal{H}$

$$\Pr[A(\mathcal{X}) \in \mathcal{H}] \in e^{\pm \epsilon} \Pr[A(\mathcal{X}') \in \mathcal{H}] + \delta$$

$\delta \ll \epsilon$   
Think  $\delta = 0$

# Differential Privacy



Def:  $A$  is  $\epsilon$  *differentially private* if

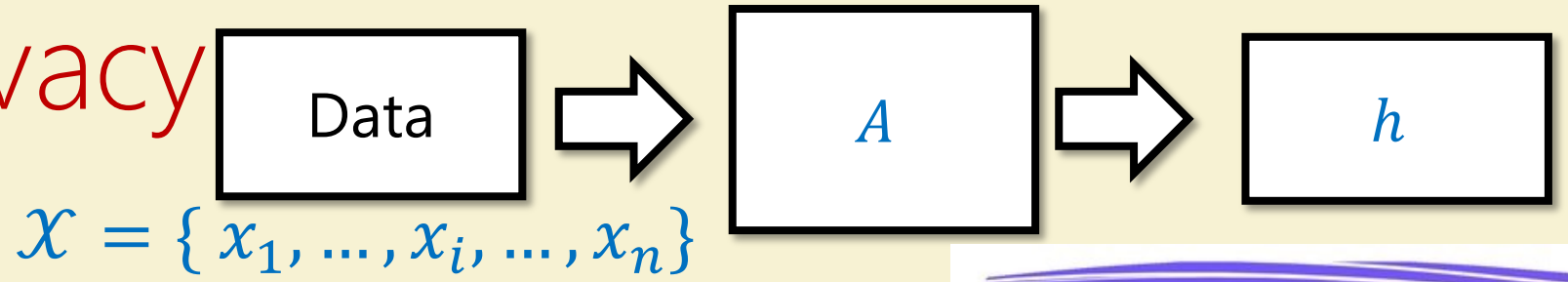
$$\forall \mathcal{X}, \mathcal{X}' \text{ s.t. } |\mathcal{X} \Delta \mathcal{X}'| = 1, \forall S$$

$$\Pr[A(\mathcal{X}) \in S] \in e^{\pm\epsilon} \Pr[A(\mathcal{X}') \in S]$$

$$\Pr\left[ \begin{array}{l} \text{Bad event} \\ \text{happened to } i \\ \text{because their} \\ \text{data in } \mathcal{X} \end{array} \right] \leq e^{\epsilon} \cdot \Pr\left[ \begin{array}{l} \text{Bad event} \\ \text{happens} \\ \text{anyway} \end{array} \right]$$

Example:  $A(\mathcal{X})$  reveals short people more likely to default on loans

# Differential Privacy



Def:  $A$  is  $\epsilon$  differentially private if

$$\forall \mathcal{X}, \mathcal{X}' \text{ s.t. } |\mathcal{X} \Delta \mathcal{X}'| = 1, \forall S$$

$$\Pr[A(\mathcal{X}) \in S] \in e^{\pm \epsilon} \Pr[A(\mathcal{X}') \in S]$$

Why not  $\Pr[A(\mathcal{X}) \in S] \in \Pr[A(\mathcal{X}') \in S] \pm \epsilon$ ?

Think:  $A(\mathcal{X}) = \{x_{i_1}, \dots, x_{i_k}\}$  random  $i_1, \dots, i_k$ ,  $k \ll n$

$$|\Pr[A(\mathcal{X}) \in S] - \Pr[A(\mathcal{X}') \in S]| \leq \frac{k}{n}$$



Subset  $i_1 \dots i_k$   
is "sacrificial  
lamb"

# Differentially private statistics:

Publish estimates  $\hat{f}_1 \approx \sum_{x \in \mathcal{X}} f_1(x)$  , ...,  $\hat{f}_k \approx \sum_{x \in \mathcal{X}} f_k(x)$

In differentially private way

Why can't we just publish sums?

- 40 CS229br students passed pset zero
- 39 CS229br students passed pset zero & not named Costis

# Differentially private statistics:

Publish estimates  $\hat{f}_1 \approx \sum_{x \in \mathcal{X}} f_1(x)$  , ...,  $\hat{f}_k \approx \sum_{x \in \mathcal{X}} f_k(x)$

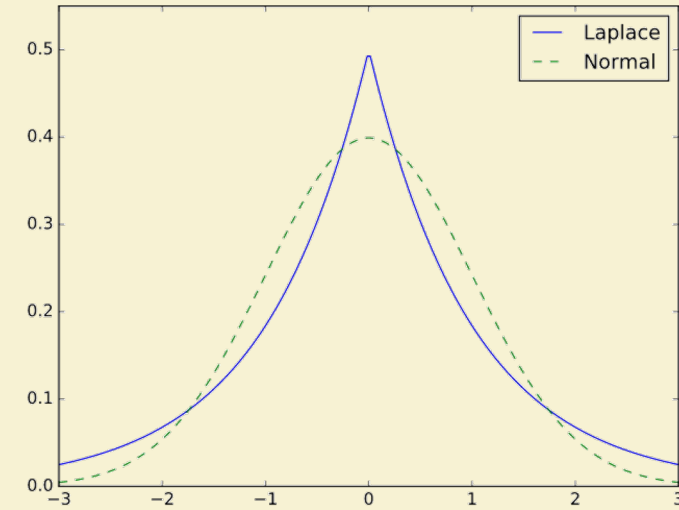
In differentially private way

**Laplace mechanism:**

Assume  $f_i(x) \in [0,1]$

$$\hat{f}_i = \sum_{x \in \mathcal{X}} f_i(x) + \text{Lap}(k/\epsilon)$$

**THM:** Laplace mechanism is  $\epsilon$ -DP



Symmetric  
exponential

$$\Pr[\text{Lap}(b) = x] = \frac{1}{2b} \exp(-|x|/b)$$

$$\sigma^2 = 2b^2$$

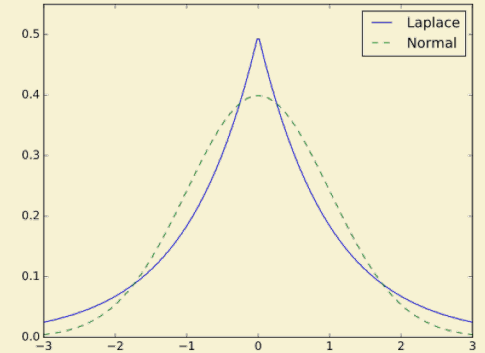
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$$\hat{f}_i = \sum_{x \in \mathcal{X}} f_i(x) + \text{Lap}(k/\epsilon)$$

**THM:** Laplace mechanism is  $\epsilon$ -DP



$$\Pr[\text{Lap}(b) = x] = \frac{1}{2b} \exp(-|x|/b)$$
$$\sigma^2 = 2b^2$$

**PF:** Focus on single  $f$

$$|f(x) - f(x')| \leq 1$$

$$f(x) := \sum_{x \in \mathcal{X}} f(x) \quad f(x') := \sum_{x \in \mathcal{X}'} f(x)$$

Proof on Board

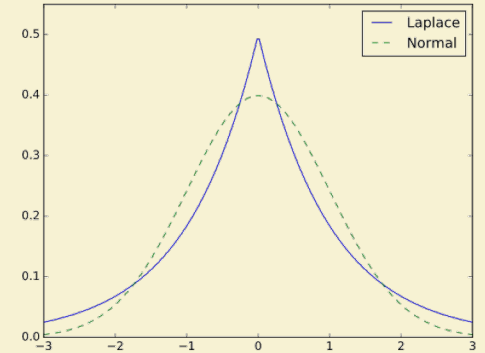
Publish estimates  $\hat{f}_1 \approx \sum_{x \in \mathcal{X}} f_1(x)$  , ...,  $\hat{f}_k \approx \sum_{x \sim \mathcal{X}} f_k(x)$

Assume  $f_i(x) \in [0,1]$

Laplace mechanism:

$$\hat{f}_i = \sum_{x \sim X} f_i(x) + \text{Lap}(k/\epsilon)$$

**THM:** Laplace mechanism is  $\epsilon$ -DP



$$\Pr[\text{Lap}(b) = x] = \frac{1}{2b} \exp(-|x|/b)$$
$$\sigma^2 = 2b^2$$

**Generalization:** Achieve  $\epsilon$ -DP for std  $\approx k/\epsilon$  estimator for any  $f: \mathcal{X} \rightarrow \mathbb{R}^m$

$$\text{s.t. } \underbrace{|f(\mathcal{X}) - f(\mathcal{X}')|_1}_{\text{Sensitivity of } f} \leq k \text{ for all } |\mathcal{X} \Delta \mathcal{X}'| = 1$$

Sensitivity of  $f$

**Generalization:** Achieve  $\epsilon$ -DP for std  $\approx k/\epsilon$  estimator for any  $f: \mathcal{X} \rightarrow \mathbb{R}^m$

$$\text{s.t. } \underbrace{\|f(\mathcal{X}) - f(\mathcal{X}')\|_1}_{\text{Sensitivity of } f} \leq k \text{ for all } |\mathcal{X} \Delta \mathcal{X}'| = 1$$

Sensitivity of  $f$

**Gaussian mechanism:** Output  $f(\mathcal{X}) + N(0, \sigma^2 I)$

**"Morally":** Achieve  $\epsilon \sqrt{\delta}$ -DP std  $\approx \sqrt{\log(1/\delta)} k/\epsilon$  for any  $f: \mathcal{X} \rightarrow \mathbb{R}^m$

$$\text{s.t. } \|f(\mathcal{X}) - f(\mathcal{X}')\|_2 \leq k \text{ for all } |\mathcal{X} \Delta \mathcal{X}'| = 1$$



# Important

Differential privacy is **definition**

Adding noise is **one approach** to achieve definition

# Differential privacy composition

**Thm:** If  $A$  is  $\epsilon$ -DP and  $A'$  is  $\epsilon'$ -DP then  $B(\mathcal{X}) = A(\mathcal{X}), A'(\mathcal{X})$  is  $\epsilon + \epsilon'$ -DP

**Proof:**  $\forall h, h'$  and  $|\mathcal{X} \Delta \mathcal{X}'| \leq 1$

$$\Pr[A(\mathcal{X}), A'(\mathcal{X}) = (h, h')] \leq e^\epsilon \Pr[A(\mathcal{X}') = h] \cdot e^{\epsilon'} \Pr[A'(\mathcal{X}') = h']$$

# Differential privacy under post-processing

**Thm:** If  $A$  is  $\epsilon$ -DP and  $B(\mathcal{X}) = f(A(\mathcal{X}))$  then  $B(\mathcal{X})$  is  $\epsilon$ -DP

**Proof:**  $\forall h$  and  $|\mathcal{X} \Delta \mathcal{X}'| \leq 1$

$$\Pr[f(A(\mathcal{X})) = h] = \sum_{h' \in f^{-1}(h)} \Pr[A(\mathcal{X}) = h'] \leq e^\epsilon \sum_{h' \in f^{-1}(h)} \Pr[A(\mathcal{X}') = h'] = e^\epsilon \Pr[f(A(\mathcal{X}')) = h]$$

# Advanced composition

**Thm:** If  $A_1 \dots A_k$  are  $\epsilon$ -DP then  $B(\mathcal{X}) = A_1(\mathcal{X}), \dots, A_k(\mathcal{X})$  is

1)  $k\epsilon$ -DP

2)  $(\tilde{O}(\epsilon\sqrt{k}), o(1))$ -DP

More accurately:  $O(\epsilon\sqrt{k \log(1/\delta)} + \epsilon^2 k), \delta$

Proof on Board

\* Holds even if  $A_{i+1}$  depends on outputs of  $A_1 \dots A_{i-1}$

# DP-SGD

## Deep Learning with Differential Privacy

October 25, 2016

Martín Abadi\*  
H. Brendan McMahan\*

Andy Chu\*  
Ilya Mironov\*  
Li Zhang\*

Ian Goodfellow†  
Kunal Talwar\*

On Board

# Evaluation

## DIFFERENTIALLY PRIVATE LEARNING NEEDS BETTER FEATURES (OR MUCH MORE DATA)

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### ABSTRACT

We demonstrate that differentially private machine learning has not yet reached its “AlexNet moment” on many canonical vision tasks: linear models trained on handcrafted features significantly outperform end-to-end deep neural networks for moderate privacy budgets. To exceed the performance of handcrafted features, we show that private learning requires either much more private data, or access to features learned on public data from a similar domain. Our work introduces simple yet strong baselines for differentially private learning that can inform the evaluation of future progress in this area.

Data	$\epsilon$ -DP	Source	CNN
MNIST	1.2	<a href="#">Feldman &amp; Zrnic (2020)</a>	<u>96.6</u>
	2.0	<a href="#">Abadi et al. (2016)</a>	95.0
	2.32	<a href="#">Bu et al. (2019)</a>	96.6
	2.5	<a href="#">Chen &amp; Lee (2020)</a>	90.0
	2.93	<a href="#">Papernot et al. (2020a)</a>	<u>98.1</u>
	3.2	<a href="#">Nasr et al. (2020)</a>	<u>96.1</u>
	6.78	<a href="#">Yu et al. (2019b)</a>	93.2
Fashion-MNIST	2.7	<a href="#">Papernot et al. (2020a)</a>	<u>86.1</u>
	3.0	<a href="#">Chen &amp; Lee (2020)</a>	82.3
CIFAR-10	3.0	<a href="#">Nasr et al. (2020)</a>	<u>55.0</u>
	6.78	<a href="#">Yu et al. (2019b)</a>	44.3
	7.53	<a href="#">Papernot et al. (2020a)</a>	<u>66.2</u>
	8.0	<a href="#">Chen &amp; Lee (2020)</a>	<u>53.0</u>

# Protection from memorization in practice

**The Secret Sharer: Evaluating and Testing  
Unintended Memorization in Neural Networks**

Nicholas Carlini<sup>1,2</sup>   Chang Liu<sup>2</sup>   Úlfar Erlingsson<sup>1</sup>   Jernej Kos<sup>3</sup>   Dawn Song<sup>2</sup>

	Optimizer	$\epsilon$	Test Loss	Estimated Exposure	Extraction Possible?
With DP	RMSProp	0.65	1.69	1.1	
	RMSProp	1.21	1.59	2.3	
	RMSProp	5.26	1.41	1.8	
	RMSProp	89	1.34	2.1	
	RMSProp	$2 \times 10^8$	1.32	3.2	
	RMSProp	$1 \times 10^9$	1.26	2.8	
	SGD	$\infty$	2.11	3.6	
No DP	SGD	N/A	1.86	9.5	
	RMSProp	N/A	1.17	31.0	✓

$\epsilon$	Naïve Composition				Advanced Composition				zCDP				RDP			
	Loss	1%	2%	5%	Loss	1%	2%	5%	Loss	1%	2%	5%	Loss	1%	2%	5%
0.01	.94	0	0	0	.94	0	0	0	.93	0	0	0	.94	0	0	0
0.05	.94	0	0	0	.93	0	0	0	.94	0	0	0	.94	0	0	0
0.1	.94	0	0	0	.93	0	0	0	.94	0	0	0	.93	0	0	0
0.5	.95	0	0	0	.93	0	0	0	.94	0	0	0	.92	0	0	0
1.0	.94	0	0	0	.94	0	0	0	.92	0	0	0	.94	0	0	0
5.0	.94	0	0	0	.94	0	0	0	.94	0	0	0	.65	11	24	79
10.0	.94	0	0	0	.93	0	0	0	.91	0	0	2	.53	9	33	108
50.0	.94	0	0	0	.94	0	0	0	.64	2	12	65	.35	28	65	185
100.0	.91	0	0	0	.93	0	0	0	.52	13	31	98	.32	21	67	205
500.0	.54	3	21	58	.79	4	7	31	.28	8	41	210	.27	5	54	278
1,000.0	.36	20	48	131	.71	8	16	74	.22	12	42	211	.24	10	37	269

Table 7: Number of members (out of 10,000) exposed by Yeom et al. membership inference attack on neural network (CIFAR-100). The non-private ( $\epsilon = \infty$ ) model leaks 0, 556 and 7349 members for 1%, 2% and 5% FPR respectively.

# Private aggregation of teacher ensembles

## SEMI-SUPERVISED KNOWLEDGE TRANSFER FOR DEEP LEARNING FROM PRIVATE TRAINING DATA

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Dataset	$\epsilon$	$\delta$	Queries	Non-Private Baseline	Student Accuracy
MNIST	2.04	$10^{-5}$	100	99.18%	98.00%
MNIST	8.03	$10^{-5}$	1000	99.18%	98.10%
SVHN	5.04	$10^{-6}$	500	92.80%	82.72%
SVHN	8.19	$10^{-6}$	1000	92.80%	90.66%

Figure 4: **Utility and privacy of the semi-supervised students:** each row is a variant of the student model trained with generative adversarial networks in a semi-supervised way, with a different number of label queries made to the teachers through the noisy aggregation mechanism. The last column reports the accuracy of the student and the second and third column the bound  $\epsilon$  and failure probability  $\delta$  of the  $(\epsilon, \delta)$  differential privacy guarantee.

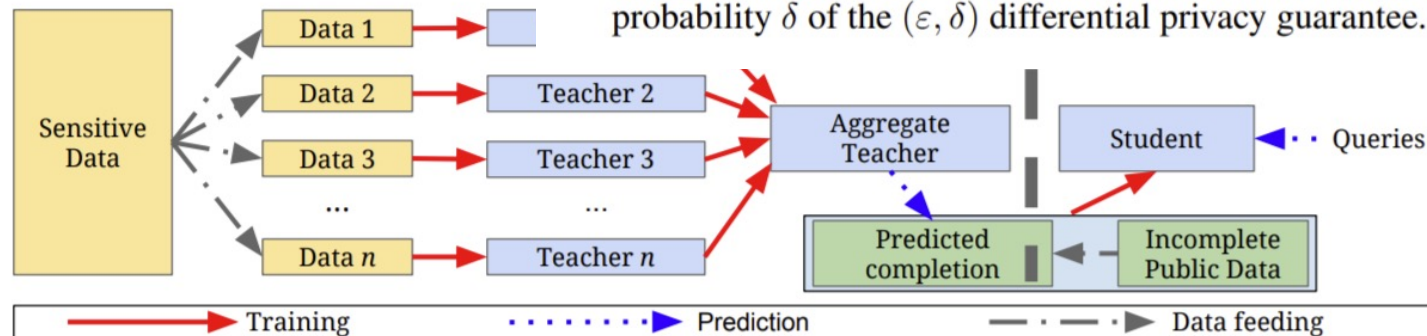


Figure 2: Overview of the approach: (1) an ensemble of teachers is trained on disjoint subsets of the sensitive data, (2) a student model is trained on public data labeled using the ensemble.



# SCALABLE PRIVATE LEARNING WITH PATE

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Dataset	Aggregator	Queries answered	Privacy bound $\epsilon$	Accuracy	
				Student	Baseline
MNIST	LNMax (Papernot et al., 2017)	100	2.04	98.0%	99.2%
	LNMax (Papernot et al., 2017)	1,000	8.03	98.1%	
	Confident-GNMax ( $T=200, \sigma_1=150, \sigma_2=40$ )	286	<b>1.97</b>	<b>98.5%</b>	
SVHN	LNMax (Papernot et al., 2017)	500	5.04	82.7%	92.8%
	LNMax (Papernot et al., 2017)	1,000	8.19	90.7%	
	Confident-GNMax ( $T=300, \sigma_1=200, \sigma_2=40$ )	3,098	<b>4.96</b>	<b>91.6%</b>	
Adult	LNMax (Papernot et al., 2017)	500	2.66	83.0%	85.0%
	Confident-GNMax ( $T=300, \sigma_1=200, \sigma_2=40$ )	524	<b>1.90</b>	<b>83.7%</b>	
Glyph	LNMax	4,000	4.3	72.4%	82.2%
	Confident-GNMax ( $T=1000, \sigma_1=500, \sigma_2=100$ )	10,762	2.03	<b>75.5%</b>	
	Interactive-GNMax, two rounds	4,341	<b>0.837</b>	73.2%	



# Heuristics

Avoid DP issues:

- Accuracy hit
- Large values for  $\epsilon$
- Slower

# InstaHide

Recall FHE-based training:

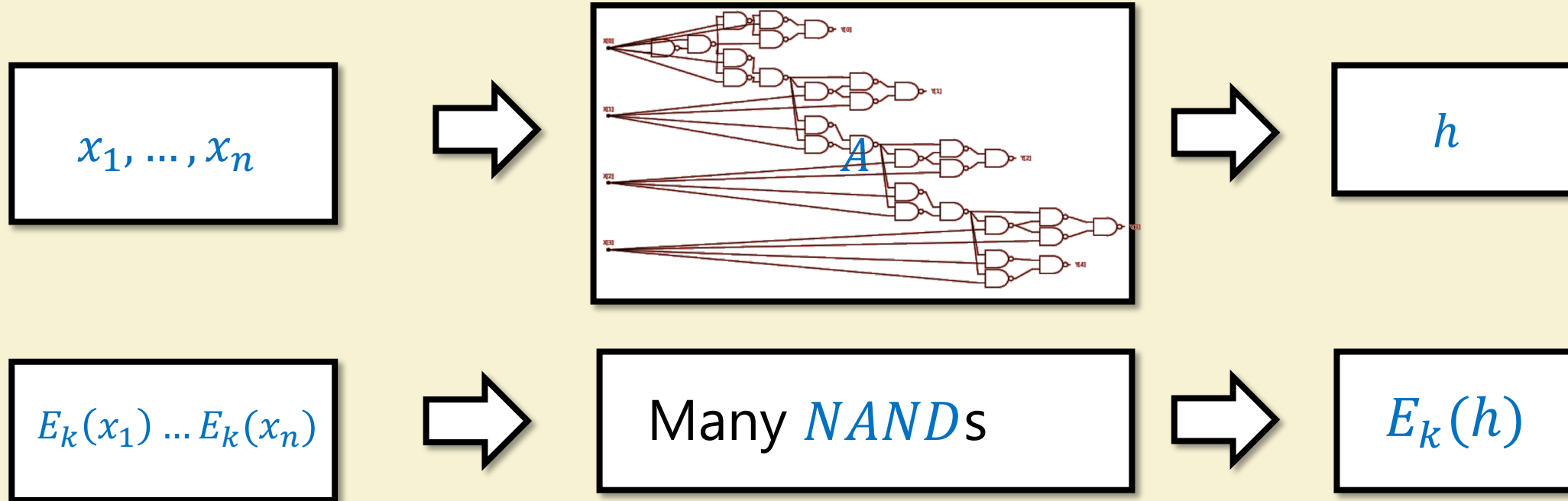
*InstaHide*: Instance-hiding Schemes for Private Distributed Learning\*

Yangsibo Huang<sup>†</sup>

Zhao Song<sup>‡</sup>

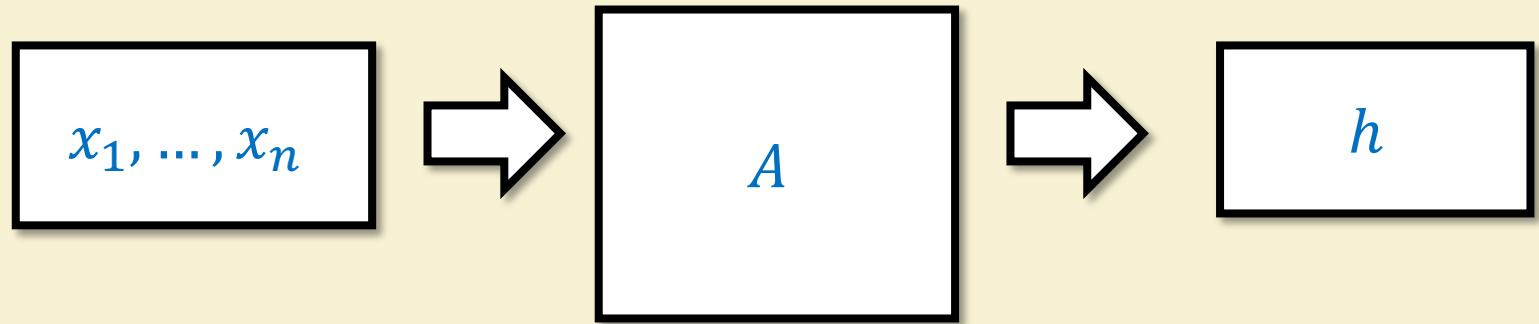
Kai Li<sup>§</sup>

Sanjeev Arora<sup>¶</sup>

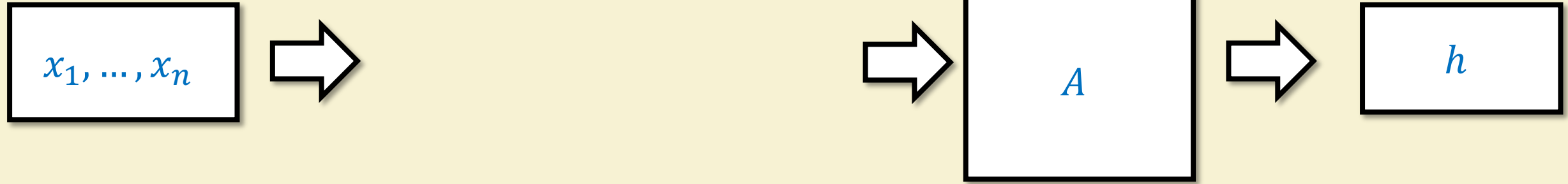


**Challenges:** Only get *encrypted* model/summary  
Huge computational overhead

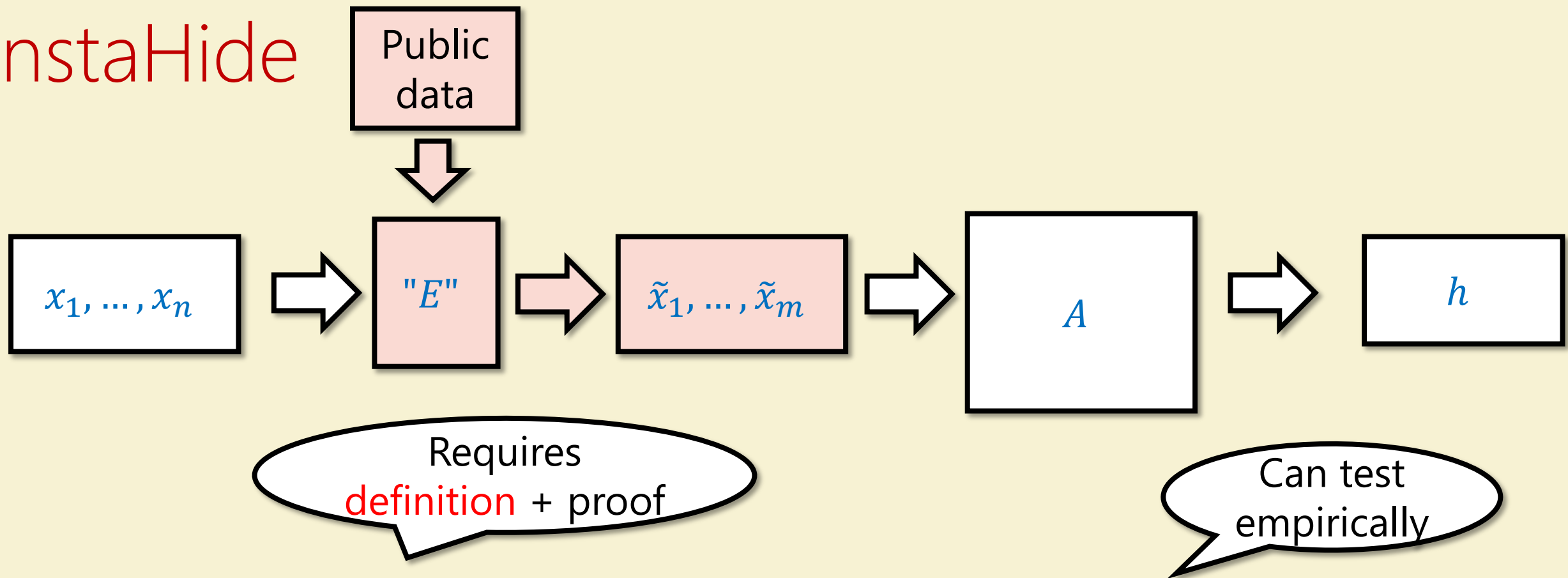
# InstaHide



# InstaHide



# InstaHide



**Hope:**  $\tilde{x}_1, \dots, \tilde{x}_m$  "encrypt" the original data, but are still good enough to train on.

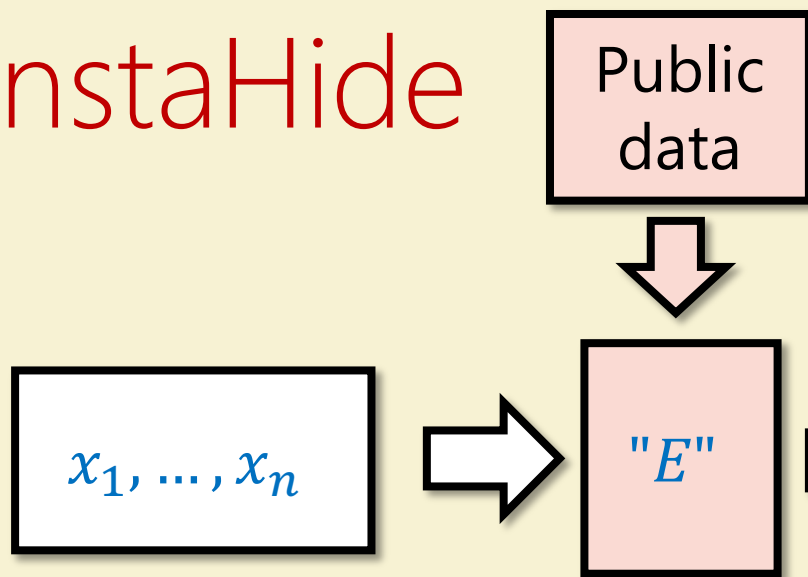
**Intuition:** *Mixup*\* data augmentation

Require  $f(\alpha x_1 + \beta x_2 + \gamma x_3) \approx (\alpha, \beta, \gamma)$

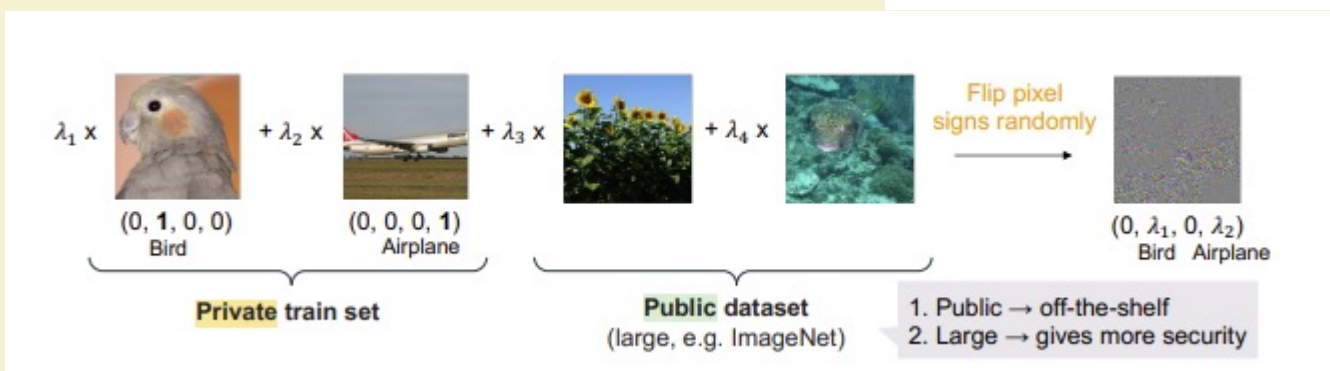


\* Zhang, Cisse, Dauphin, Lopez-Paz '18

# InstaHide



	MNIST	CIFAR-10	CIFAR-100	ImageNet
Vanilla training	$99.5 \pm 0.1$	$94.8 \pm 0.1$	$77.9 \pm 0.2$	77.4
DPSGD*	98.1	72.0	N/A	N/A
<i>InstaHide</i> <sub>inside,k=4</sub> , in inference	$98.2 \pm 0.2$	$91.4 \pm 0.2$	$73.2 \pm 0.2$	72.6
<i>InstaHide</i> <sub>inside,k=4</sub>	$98.2 \pm 0.3$	$91.2 \pm 0.2$	$73.1 \pm 0.3$	1.4
<i>InstaHide</i> <sub>cross,k=4</sub> , in inference	$98.1 \pm 0.2$	$90.3 \pm 0.2$	$72.8 \pm 0.3$	-
<i>InstaHide</i> <sub>cross,k=4</sub>	$97.8 \pm 0.2$	$90.7 \pm 0.2$	$73.2 \pm 0.2$	-
<i>InstaHide</i> <sub>cross,k=6</sub> , in inference	$97.4 \pm 0.2$	$89.6 \pm 0.3$	$72.1 \pm 0.2$	-
<i>InstaHide</i> <sub>cross,k=6</sub>	$97.3 \pm 0.1$	$89.8 \pm 0.3$	$71.9 \pm 0.3$	-



$$x \in [-1, +1]^n$$

$$1) x' = \lambda_1 x^1 + \lambda_2 x^2 + \lambda_3 x^3 + \lambda_4 x^4$$

$$2) \tilde{x} = (x'_1 k_1, \dots, x'_n k_n)$$

for  $k \sim \{\pm 1\}^n$

OTP  
inspired

# Attack on InstaHide

---

## **An Attack on *InstaHide*: Is Private Learning Possible with Instance Encoding?**

---

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Figure 1: Our solution to the InstaHide Challenge. Given 5,000 InstaHide encoded images released by the authors, under the strongest settings of InstaHide, we recover a visually recognizable version of the original (private) images in under an hour on a single machine.



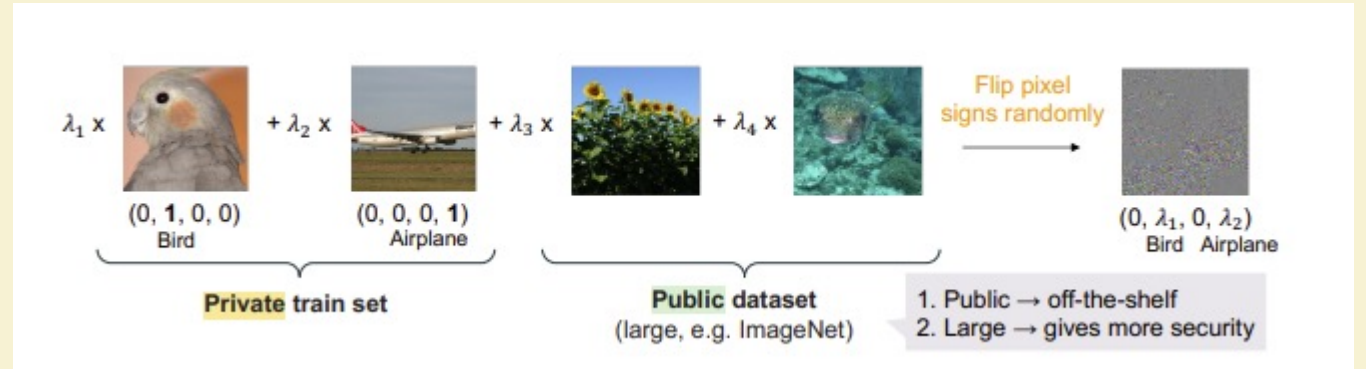
# Attack description

$x_i$  = R/G/B value of pixel, normalized to  $[-1, +1]$

$$1) x' = \lambda_1 x^1 + \lambda_2 x^2 + \lambda_3 x^3 + \lambda_4 x^4$$

$$2) \tilde{x} = (x'_1 k_1, \dots, x'_n k_n)$$

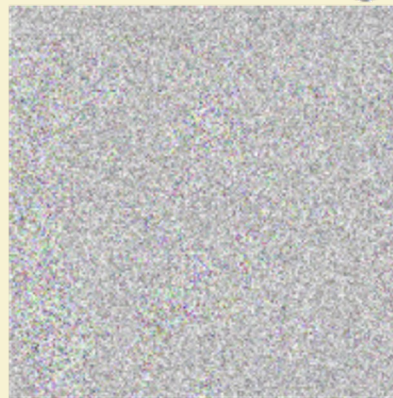
for  $k \sim \{\pm 1\}^n$



Obs 1:  $x_1 \dots x_n \mapsto (k_1 x_1, \dots, k_n x_n)$  for  $k \in \{\pm 1\}^n$  allows to recover  $(|x_1|, \dots, |x_n|)$



Original  
image



Sign  
Flipped



Absolute  
value

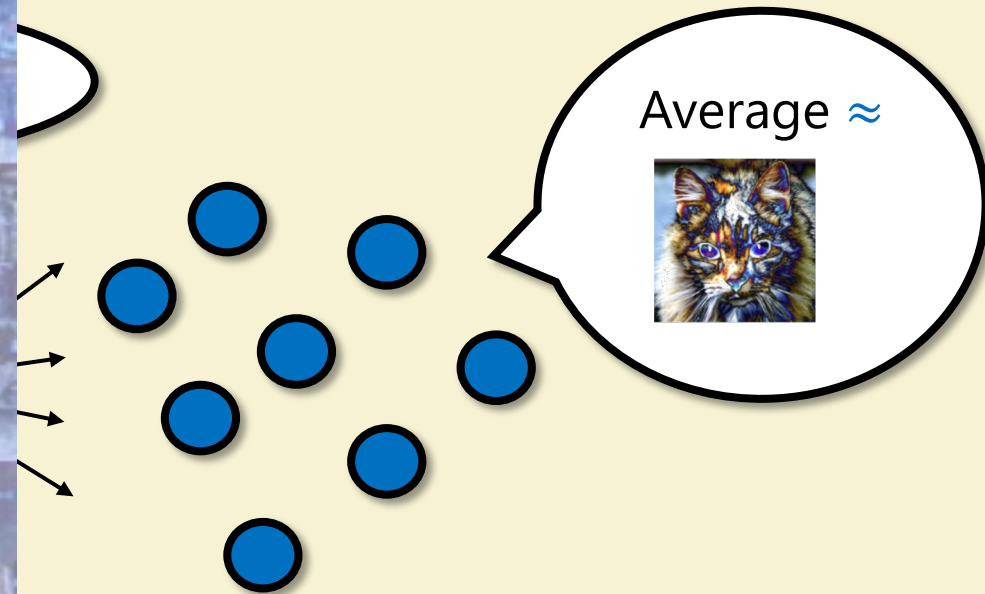
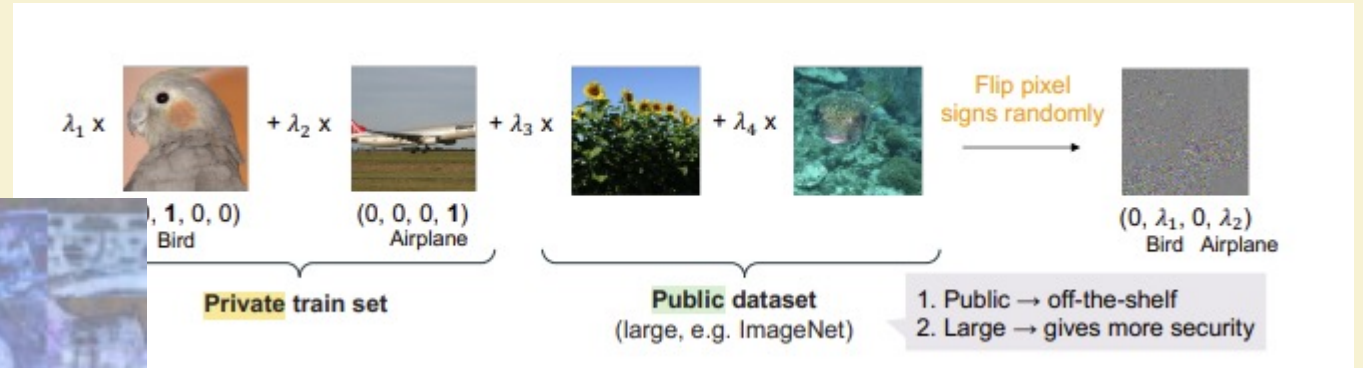


# Attack description

$x_i$  = R/G/B value of pixel, normalized to  $[-1, +1]$

$$1) x' = \lambda_1 x^1 + \lambda_2 x^2 + \lambda_3 x^3 + \lambda_4 x^4$$

$$2) \tilde{x} = (|x'_1|, \dots, |x'_l|)$$



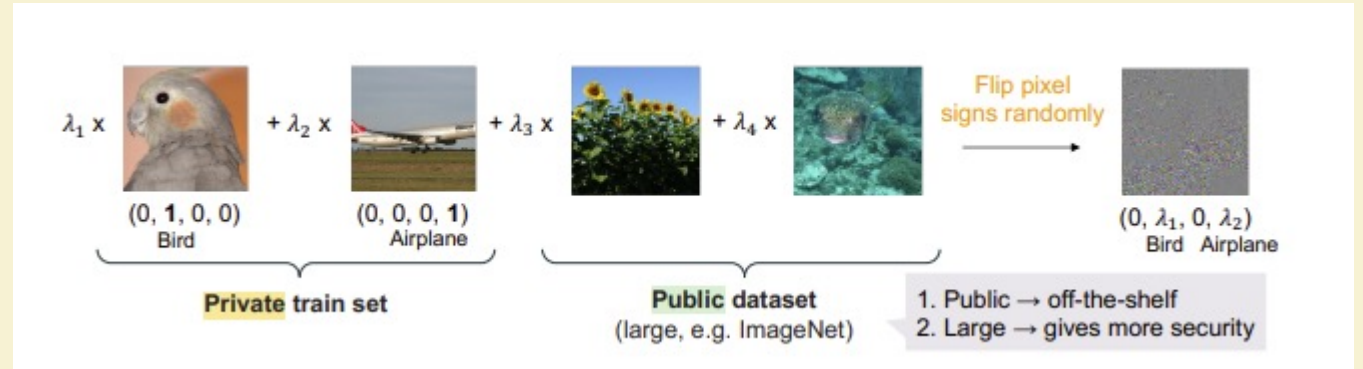
All came from same original private image

# Attack description

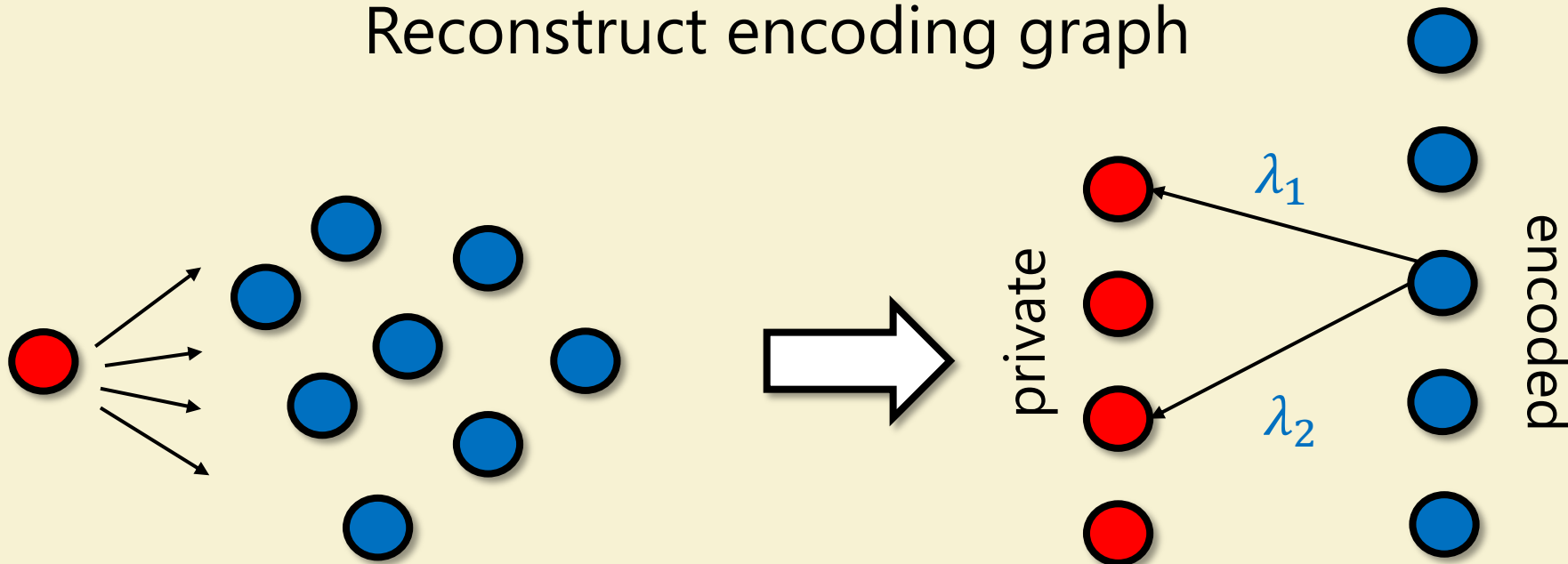
$x_i$  = R/G/B value of pixel, normalized to  $[-1, +1]$

$$1) x' = \lambda_1 x^1 + \lambda_2 x^2 + \lambda_3 x^3 + \lambda_4 x^4$$

$$2) \tilde{x} = (|x'_1|, \dots, |x'_n|)$$



## Reconstruct encoding graph



All came from same original private image

$$\tilde{x} = \text{abs}(\lambda_1 x_i + \lambda_2 x_j + \text{noise})$$

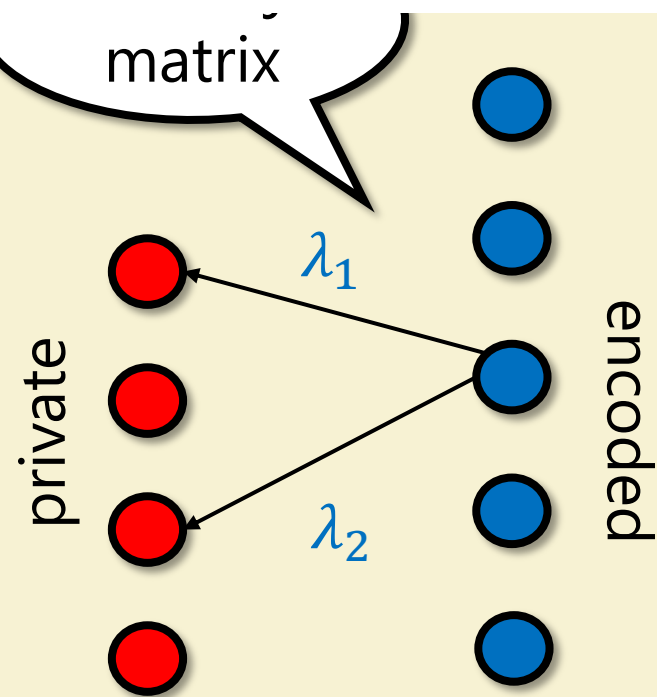
At

1)



2)

Figure 1: Our solution to the InstaHide Challenge. Given 5,000 InstaHide encoded images released by the authors, under the strongest settings of InstaHide, we recover a visually recognizable version of the original (private) images in under an hour on a single machine.



InstaHide challenge:

100 private images

5000 encoded images

$5000n$  non-linear eq in  $100n$  vars

Use GD to find  $\arg \min_{X \in [-1,1]^{n \times t}} \| \text{abs}(AX) - \tilde{X} \|^2$

$$\tilde{x} = \text{abs}(\lambda_1 x_i + \lambda_2 x_j + \text{noise})$$



# Black Box recovery

## Cryptanalytic Extraction of Neural Network Models

Nicholas Carlini<sup>1</sup>

Matthew Jagielski<sup>2</sup>

Ilya Mironov<sup>3</sup>

Architecture	Parameters	Approach	Queries	$(\varepsilon, 10^{-9})$	$(\varepsilon, 0)$	$\max  \theta - \hat{\theta} $
784-32-1	25,120	[JCB <sup>+</sup> 20]	$2^{18.2}$	$2^{3.2}$	$2^{4.5}$	$2^{-1.7}$
		Ours	$2^{19.2}$	$2^{-28.8}$	$2^{-27.4}$	$2^{-30.2}$
784-128-1	100,480	[JCB <sup>+</sup> 20]	$2^{20.2}$	$2^{4.8}$	$2^{5.1}$	$2^{-1.8}$
		Ours	$2^{21.5}$	$2^{-26.4}$	$2^{-24.7}$	$2^{-29.4}$
10-10-10-1	210	[RK20]	$2^{22}$	$2^{-10.3}$	$2^{-3.4}$	$2^{-12}$
		Ours	$2^{16.0}$	$2^{-42.7}$	$2^{-37.98}$	$2^{-36}$
10-20-20-1	420	[RK20]	$2^{25}$	$\infty^\dagger$	$\infty^\dagger$	$\infty^\dagger$
		Ours	$2^{17.1}$	$2^{-44.6}$	$2^{-38.7}$	$2^{-37}$
40-20-10-10-1	1,110	Ours	$2^{17.8}$	$2^{-31.7}$	$2^{-23.4}$	$2^{-27.1}$
80-40-20-1	4,020	Ours	$2^{18.5}$	$2^{-45.5}$	$2^{-40.4}$	$2^{-39.7}$

**Table 1.** Efficacy of our extraction attack which is orders of magnitude more precise than prior work and for deeper neural networks orders of magnitude more query efficient. Models denoted *a-b-c* are *fully connected* neural networks with input dimension *a*, one hidden layer with *b* neurons, and *c* outputs; for formal definitions see Section 2. Entries denoted with a  $\dagger$  were unable to recover the network after ten attempts.